

**CERTIFICATE OF TRANSMISSION**

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office (Fax No. 703 872-9306) on 04/30/2004 (Date)

Attorney Docket No.  
D/A1149

Kathleen Schirtz  
Kathleen Schirtz

April 30, 2004  
Date

RECEIVED  
CENTRAL FAX CENTER

APR 30 2004

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of Russell S. Neville

Group Art Unit: 2184

Application No.: 09/886,325

Examiner: Not Yet Assigned

Filed: 06/19/2001

OFFICIAL

For: SYSTEM AND METHOD FOR AUTOMATED PRINTER DIAGNOSTICS

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

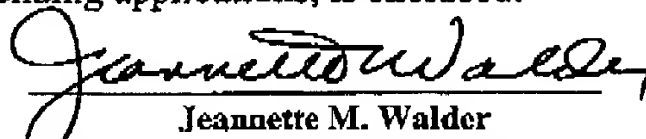
Pursuant to 37 C.F.R. 1.56, the attention of the Patent and Trademark Office is hereby directed to the reference(s) and information listed on the attached PTO-1449 Form. One legible copy of each is attached to the PTO-1449 Form, except for U.S. Patents or published U.S. applications. It is respectfully requested that the references and information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date of the above application (which is not a CPA), OR (b) before the mailing date of a first Office Action on the merits. *No certification or fee is required.*
- ☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the date of the first Office Action on the merits, but before the mailing date of a Final Rejection, Notice of Allowance, or other action that closes prosecution. Please debit Xerox Corporation Deposit Account 24-0025 in the amount of \$180.00 in payment of the fee under 37 C.F.R. 1.17(p). *(A copy of this paper is attached.)*
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance; AND
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(1); (OR)

BEST AVAILABLE COPY

Application No. D/A1149

- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c), more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(2)
- ☐ 4. This Information Disclosure Statement is being filed after the mailing date of a Final Rejection or Notice of Allowance, but before the payment of the Issue Fee. Please debit Xerox Corporation Deposit Account 24-0025 in the amount of \$180.00 in payment of the fee under 37 C.F.R. 1.17(p) (A copy of this paper is attached); **AND**
- ☐ a. I hereby certify that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(1); **(OR)**
- ☐ b. I hereby certify that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. 1.97(e)(2)
- ☐ 5. Per 37 C.F.R. 1.98(a)(3), a concise explanation of the relevance of each of any submitted item that is NOT in the English language is either enclosed herewith, or incorporated in the application specification.
- ☐ 6. A copy of an English language version of an above-referenced counterpart foreign application search report is attached.
- ☐ 7. Copies of some or all of the subject references were cited by, or submitted to the Office in related parent Application No. \_\_\_\_\_, filed \_\_\_\_\_, which is relied upon under 35 U.S.C. §120. Thus, copies of these references are not attached (except for copies of cited pending applications). 37 C.F.R. §1.98(d).
- ☐ 8. A copy of each copending application recited in the parent application of paragraph 7 above, and/or a copy of other related copending applications, is enclosed.

  
 Jeannette M. Walder  
 Signature under 37 CFR 1.33 & 34  
 Registration No. 30,698  
 Telephone No. 310.333.3660  
 Date 04/30/2004

**BEST AVAILABLE COPY**

Form PTO-1449

US Dept. of Commerce  
PATENT & TRADEMARK OFFICE

AFTY. DOCKET NO.

D/A1149

APPLICATION NO.

09/886,325

INFORMATION DISCLOSURE STATEMENT  
(Use several sheets if necessary)

APPLICANT Russell S. Neville

FILING DATE 06/19/2001

GROUP ART UNIT 2184

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE	CLASS	SUB CLASS
	4,866,635	09/12/1989	Gary S. Kahn, et al	364	513
	5,463,768	10/31/1995	Paul E. Cuddihy, et al	395	183.13
	5,768,495	06/16/1998	Scott O. Campbell, et al	395	183.01
	6,363,384	03/26/2002	Eugene N. Kookmeyer, II, et al	707	10
	6,529,954	03/04/2003	Eugene N. Cookmeyer, II, et al	709	224
	6,636,771	10/21/2003	Anil Varma, et al	700	79
	6,665,819	12/16/2003	Yadhu N. Gopalan, et al	714	37
	6,681,344	01/20/2004	Felix GTi Andrew	714	38
	2002/0194536	12/19/2002	David I. Bernklau-Halvor	714	25
	2003/0110412	06/12/2003	Russell S. Neville	714	25
	2003/0110413	06/12/2003	David I. Bernklau-Halvor	714	25

## FOREIGN PATENT DOCUMENTS

	COUNTRY	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	TRANSLATION Y/N

## OTHER DOCUMENTS (Including Author (in CAPS), Title, Publication Date, Pages, etc.)

EXAMINER	DATE CONSIDERED
Examiner: Initial if citation considered, whether or not citation is in conformance with M.P.E.P. 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

BEST AVAILABLE COPY